



# *Transatlantic Partnerships for Hybrid Electro-Optic Modulation*

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Chief Scientific Officer

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# NLM Photonics in Brief

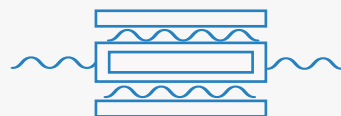


## Materials Development

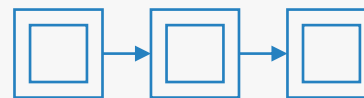
Top team and key patents developed out of 25+ year legacy of world-leading organic electro-optic (OEO) research at the University of Washington

## Partnerships

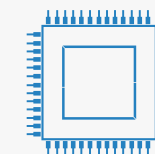
Extensive collaborations with leading translational research fabs, industry partners, startups, and academia



**MATERIALS**

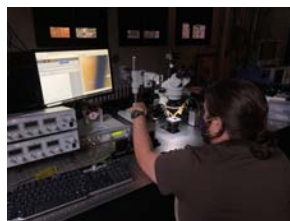


**PROCESSES**



**DEVICE TECHNOLOGY**

## Process Technology

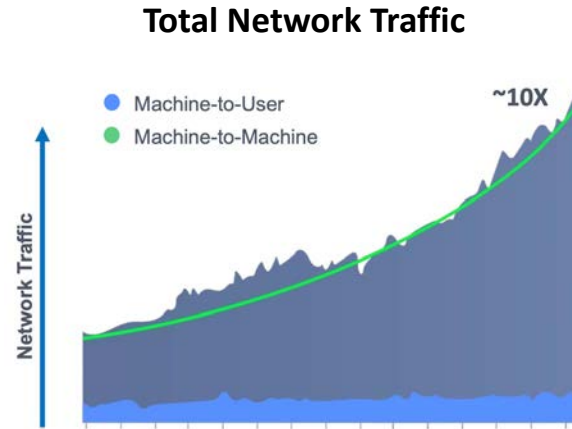


Unique technologies for high performance and stability optimized for hybrid EO devices and backed by years of processing and deployment experience

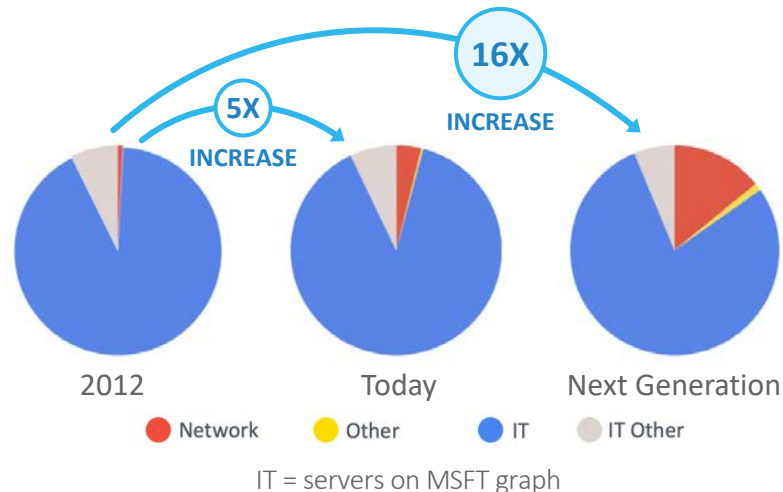


# Ubiquitous computing and AI require enormous bandwidth and power

RAPIDLY GROWING DEMAND FOR NETWORK CAPACITY<sup>1</sup>



EXPANDING NETWORK POWER USE<sup>2</sup>



What are the criteria for **SUCCESS**?

**MAXIMIZE**

- ✓ Data throughput
- ✓ Compute performance

**MINIMIZE**

- ✓ Data transport power requirements
- ✓ Resource duplication

**STAY UNDER**

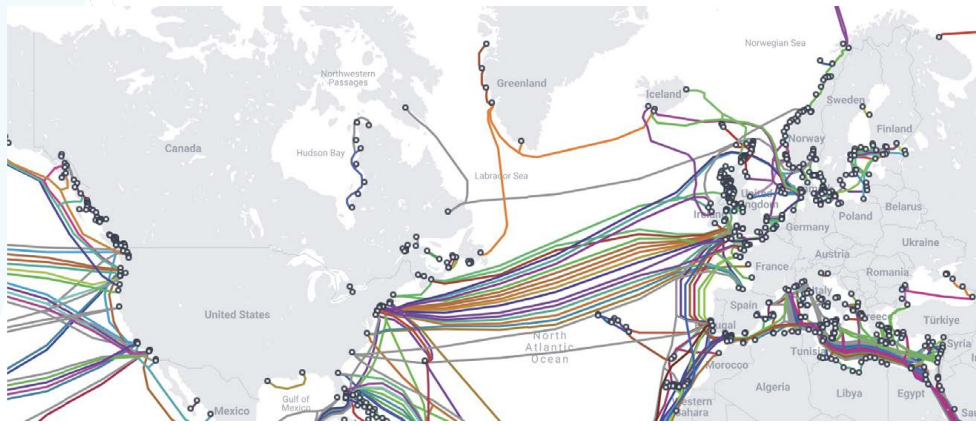
- ✓ Infrastructure power and cooling constraints

<sup>1</sup> Source: Meta

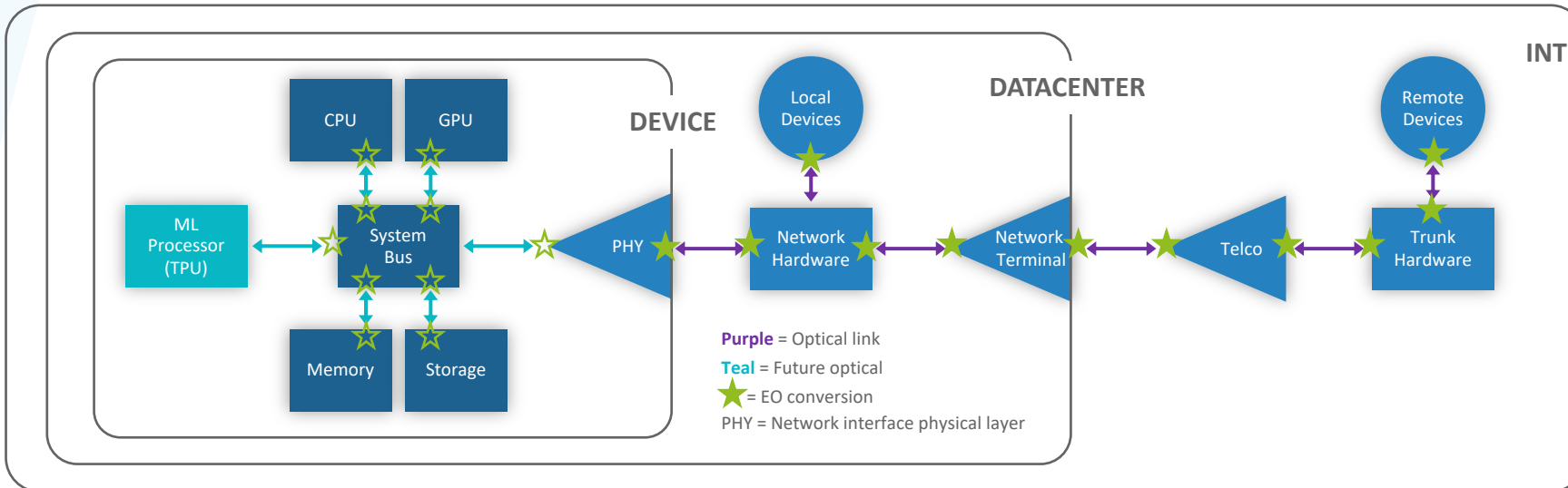
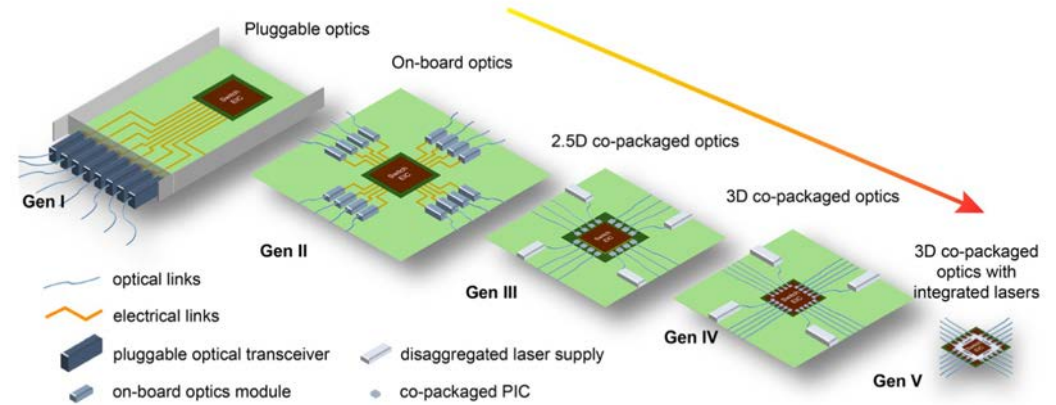
<sup>2</sup> Sources: Meta, Microsoft

# The critical role of electro-optic modulation

From telecom...



...to CPO and 3DHI



More Connections  
Shorter distances  
Faster Links  
=  
Modulation  
technology demand

Upper left: submarinecablemap.com

Upper right: Appl. Phys. Lett. 118, 220501 (2021)

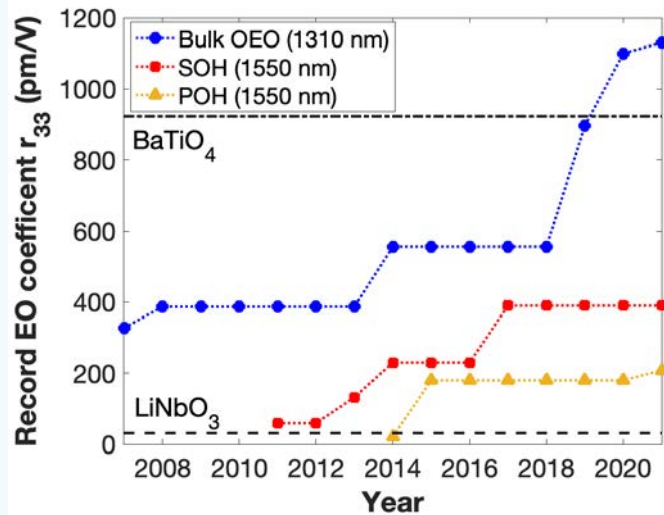
# What do we want out of integrated modulators?



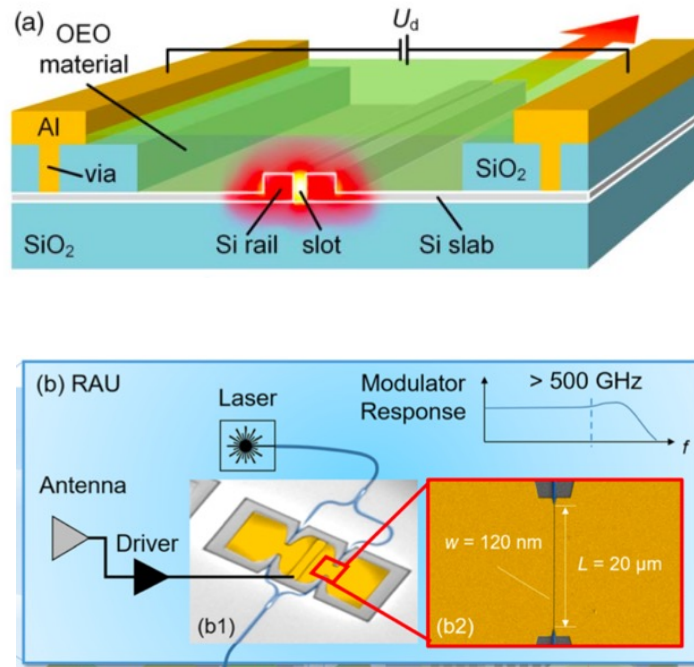
- Scalable bandwidth – can deliver 100+ GHz for 200G and 400G per  $\lambda$
- Power efficient – low drive voltage, low capacitance, high extinction ratio
- Clean, low-chirp modulation
- Low optical loss
- Linear drive capable
- Good compatibility with existing PIC manufacturing processes
- Thermally stable, can last for lifetime of hardware even under demanding conditions

# Hybrid Organic Technology can deliver.

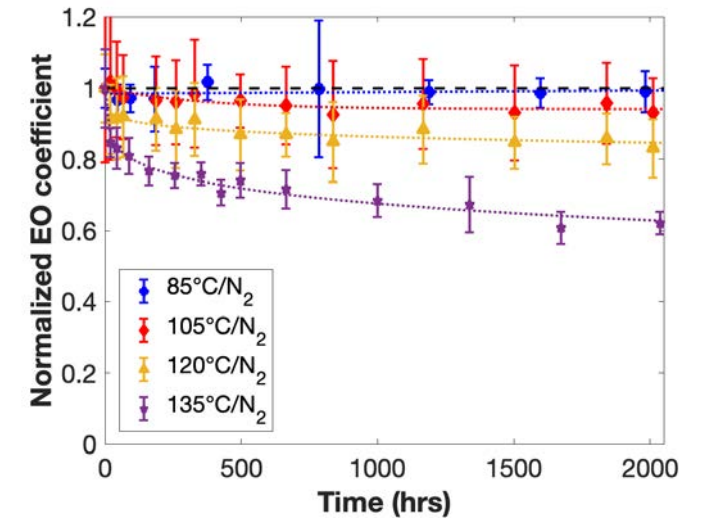
## High-performance Materials



## Optimized Device Architectures

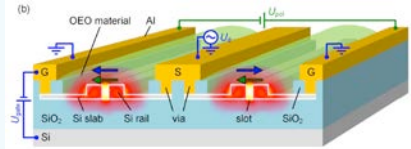


## Robust Stability



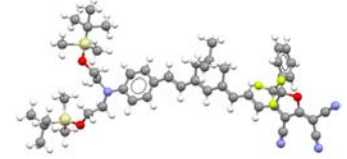
L. R. Dalton, et. al. *APL Materials* 2023, doi: 10.1063/5.0145212  
 C. Kieninger et al. *Optica* 2018, doi: 10.1364/OPTICA.5.000739  
 M. Burla et al. *APL Photonics* 2019, 4. doi:10.1063/1.5086868  
 S. R. Hammond et al, *Proc. SPIE* 2022, doi: 10.1117/12.2622099

# Factors influencing modulation efficiency



Device-level

Materials-level



$$V_{\pi} L = \frac{\lambda_0}{2} \cdot \frac{w_{slot}}{\Gamma} \cdot \frac{1}{n_e^3 r_{33}}$$

$$r_{33} = \beta_{zzz} \cdot \rho_N \langle \cos^3 \theta \rangle \cdot G$$

Drive voltage (V) & Device length ( $\mu\text{m}$ ) required for digital switching

Wavelength of light e.g. 1550 nm (design parameter)

Field confinement (device parameter)  
Depends on:  
• Slot width  $w_{slot}$  (higher field at given voltage enables lower drive voltage)  
• Optical/RF field overlap ( $\Gamma$ )

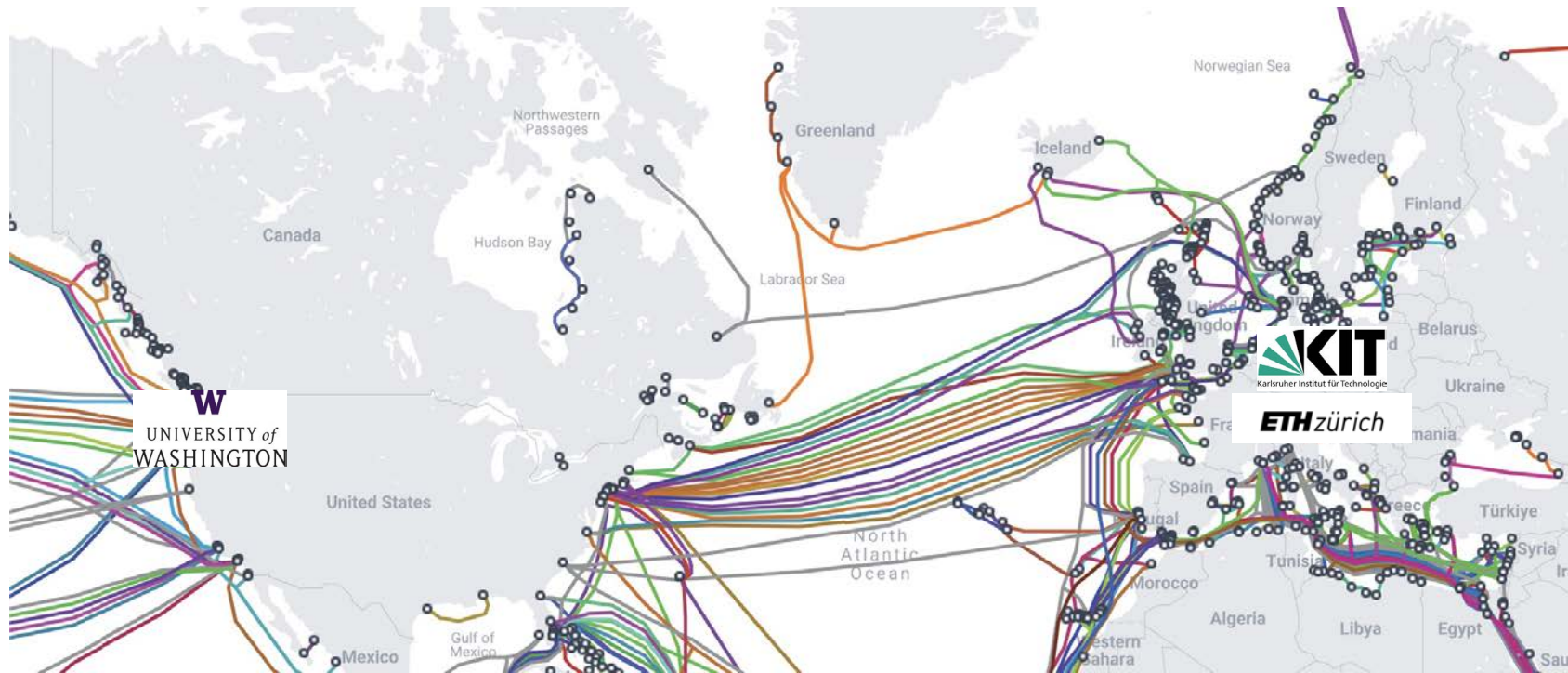
Materials performance  
Depends on:  
EO coefficient ( $r_{33}$ )  
Refractive index ( $n$ );  $n_e$  is index parallel to  $r_{33}$

Hyperpolarizability (individual-molecule performance)

How effectively many molecules work together in a material  
Depends on:  
Density ( $\rho_N$ )  
Parallel alignment of dipoles  $\langle \cos^3 \theta \rangle$

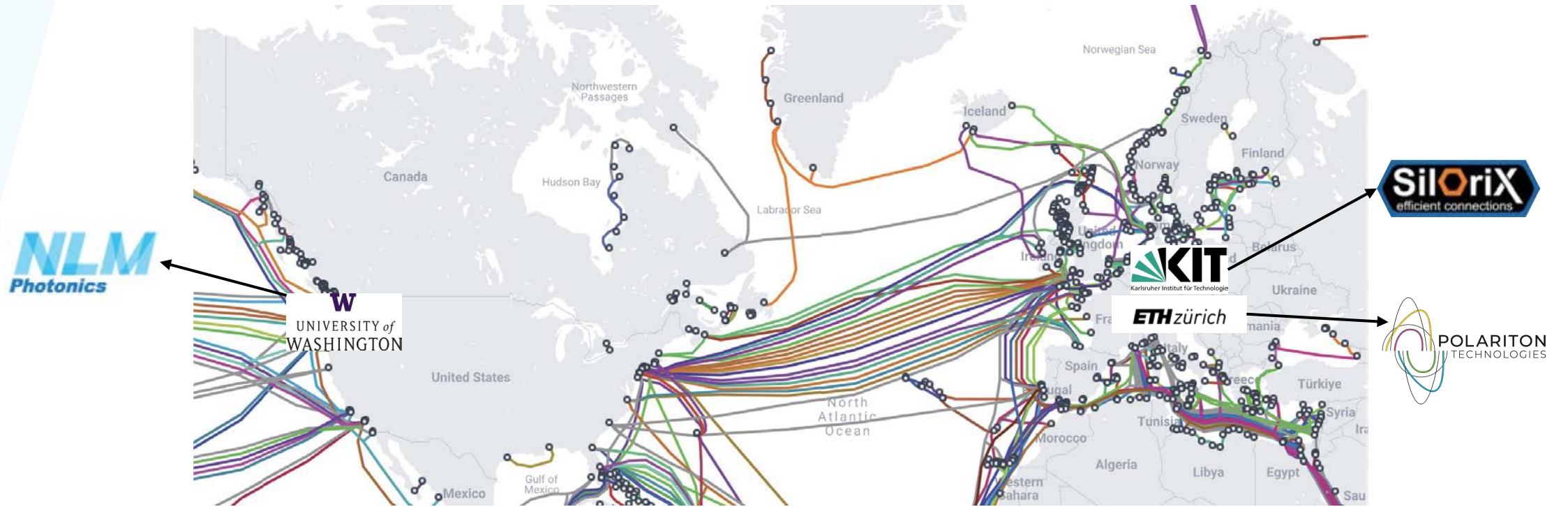
Local-field factors (depend on dielectric response)

# How did we get here? Transatlantic Partnerships

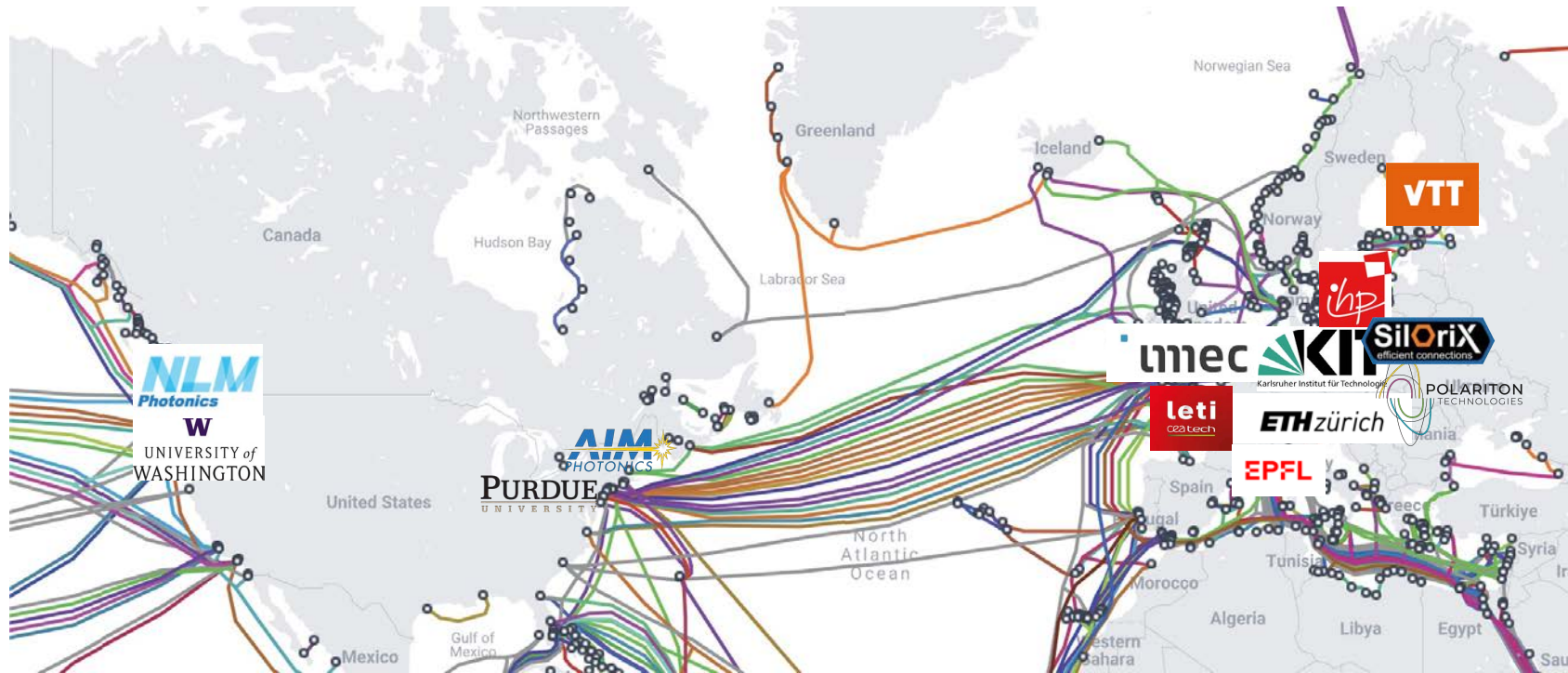




# ...and commercialization...



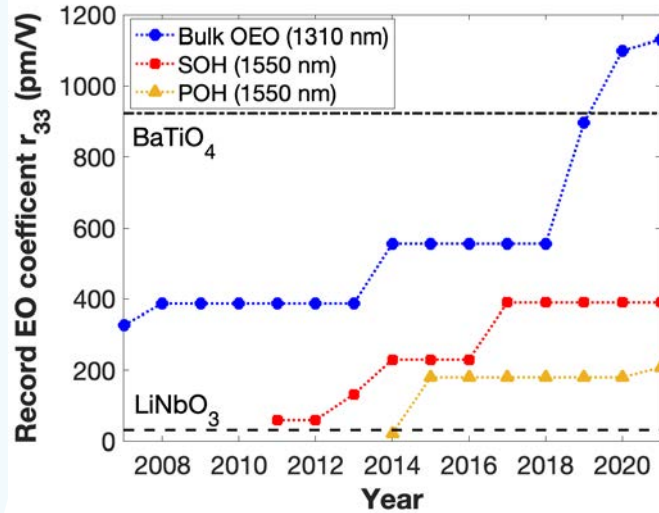
# ...and growth



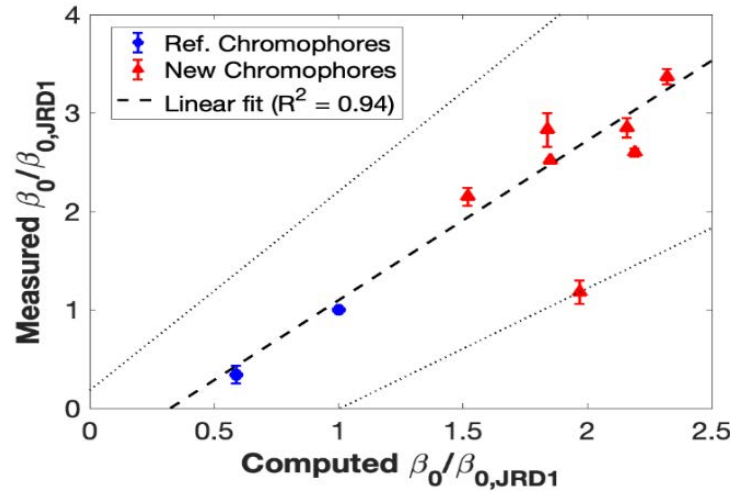
(not exhaustive)

# Developing better OEO materials

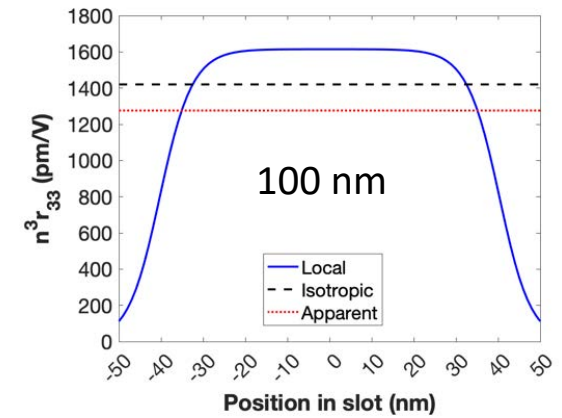
Performance comes from...



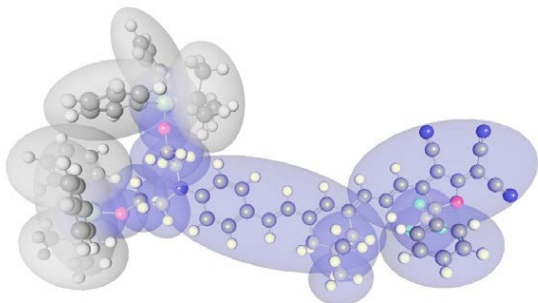
Higher hyperpolarizability



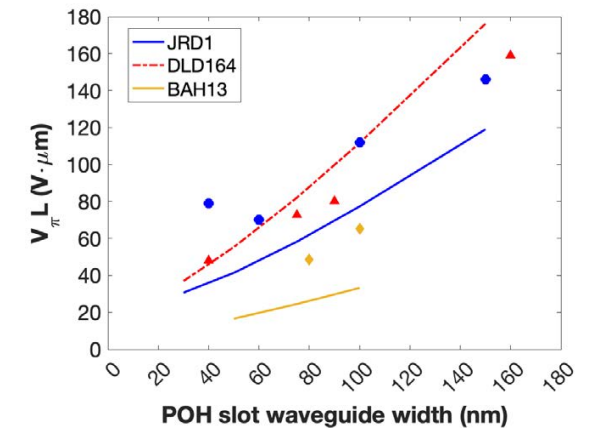
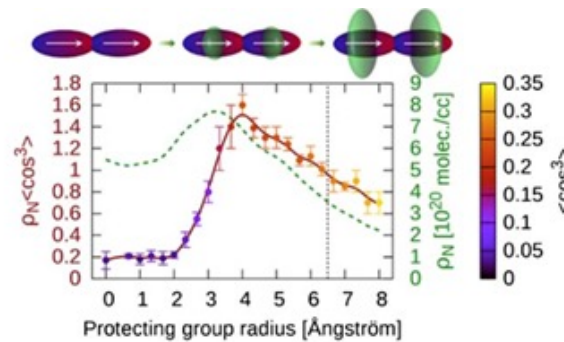
Understanding interfacial effects



Higher chromophore concentration



Improved ordering



# Silicon Organic Hybrid (SOH) technology

- High compatibility with existing silicon photonics processes
  - No complex epitaxy or ion etch
  - Noble metal free
  - Accessible critical dimensions  $\sim 100$  nm
- Slot waveguide based designs utilizing large Pockels response
  - MZIs with  $V_{\pi}L \sim 500$  V- $\mu\text{m}$
  - Athermal resonant modulators
- Facile OEO integration:
  - Oxide trench etch
  - Solution-processed advanced packaging step
  - Localized on-chip encapsulation
- Competitive device performance:
  - $V_{\pi} < 1$  V possible
  - Insertion loss  $< 1$  dB/phaseshifter demonstrated
  - Bandwidth up to 60-100 GHz demonstrated, higher possible

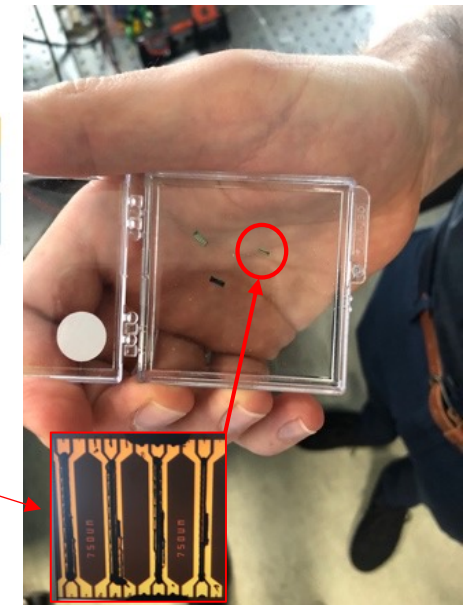
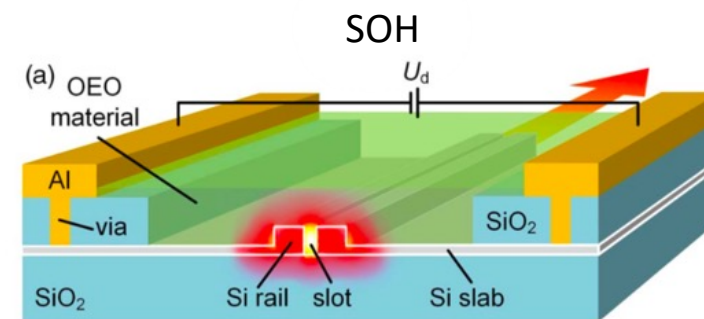
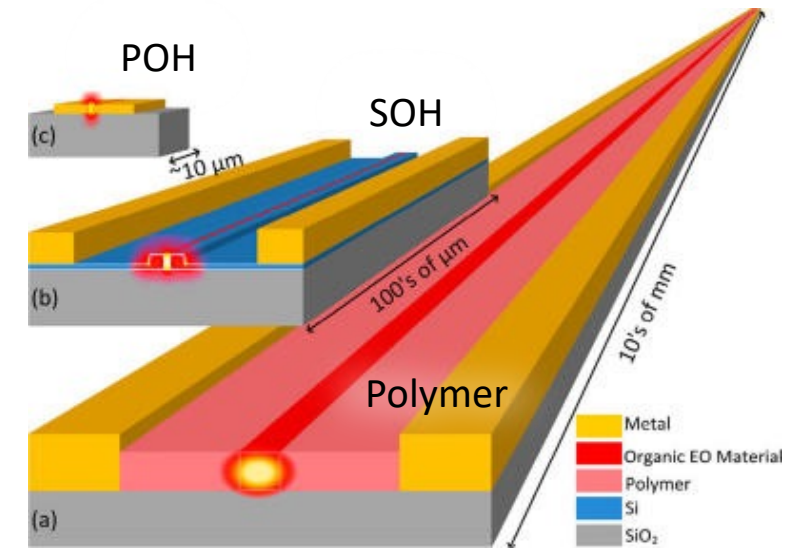
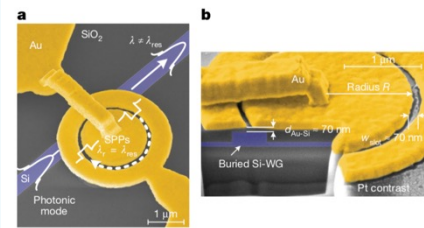
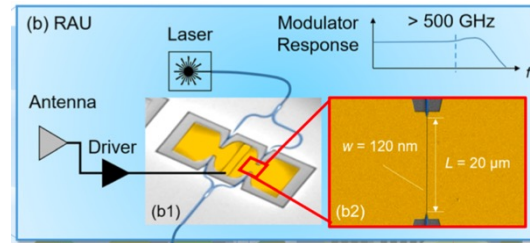


Photo: Gerard Zytnicki

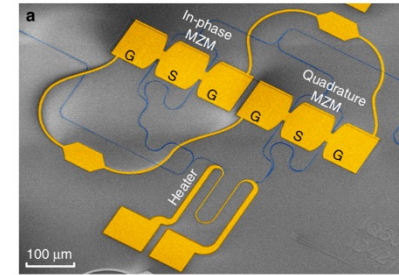
# Plasmonic-Organic Hybrid (POH) technology



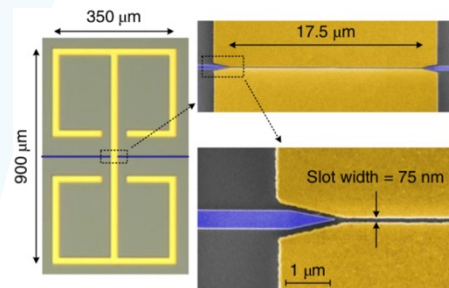
High-efficiency microscale POH ring resonator (1)



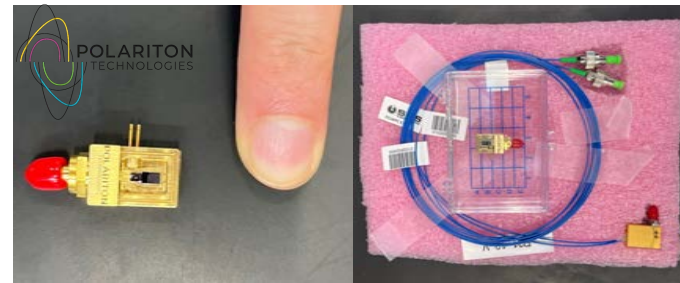
500+ GHz POH MZI (3)



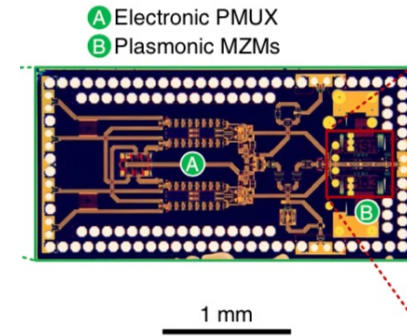
sub-100 aJ/bit IQ modulator (5)



60 GHz, sub-mm plasmonic mixer/antenna (2)



Commercial prototype 110+ GHz POH modulator from Polariton (4)



Integrated POH-BiCMOS transmitter (6)

## Advantages of POH technology:

- Ultra-small  $V_{\pi}L$  ( $< 50$  V- $\mu$ m)
  - Tight confinement + effective index of plasmonic mode
  - Can be further improved with higher-performance materials

## Ultra-large bandwidth ( $> 500$ GHz)

- Flat frequency response
- SFDR competitive with III-V based solutions

Crosslinked materials can survive space-relevant conditions

1) C. Haffner et al., Low-loss plasmon-assisted electro-optic modulator. *Nature* **2017**, 556, 483-486.

2) Y. Salamin et al. Microwave plasmonic mixer in a transparent fibre–wireless link. *Nature Photonics* **2018**, 12, 749-753. doi:10.1038/s41566-018-0281-6

3) M. Burla et al. 500 GHz plasmonic Mach-Zehnder modulator enabling sub-THz microwave photonics. *APL Photonics* **2019**, 4. doi:10.1063/1.5086868

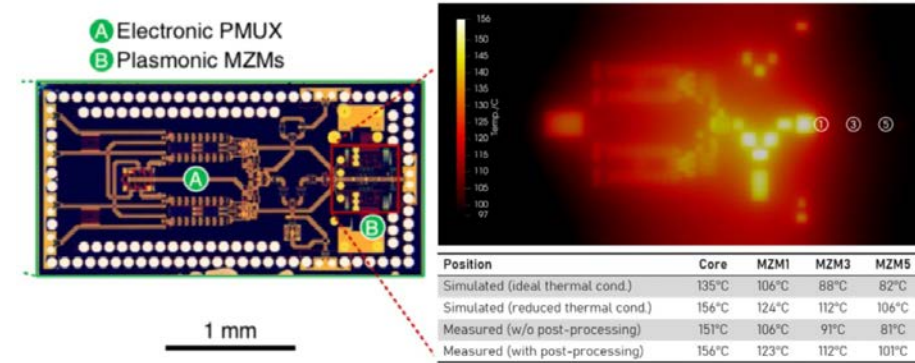
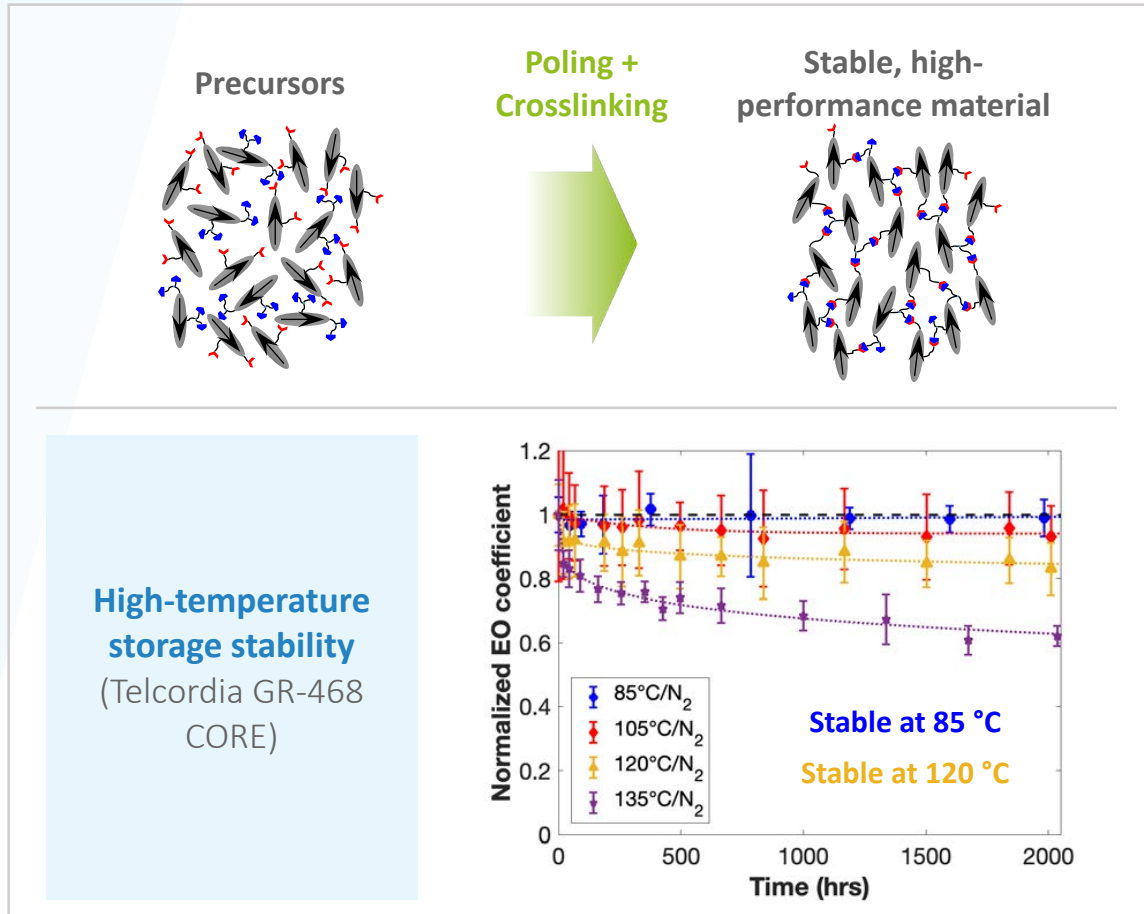
4) <http://www.polariton.ch>

5) W. Heni et al. Plasmonic IQ modulators with attojoule per bit electrical energy consumption. *Nature Communications* **2019**, 10. doi:10.1038/s41467-019-09724-7

6) U. Koch et al. A monolithic bipolar CMOS electronic–plasmonic high-speed transmitter. *Nature Electronics* **2020**. doi:10.1038/s41928-020-0417-9

# Engineering for thermal stability

Monolithic integration can get hot!



82-124°C  
at MZIs!

- **Historical** Challenge – hard to get high EO performance and high temperature stability
- Multiple approaches (high  $T_g$  thermoplastics, crosslinked thermoset plastics) have demonstrated > 85°C long-term stability
- NLM approach – binary crosslinked organic glasses – form polymers in-situ during processing, projected > 11 year  $t_{80}$  at 120°C for HLD after initial burn-in, in absence of water or oxygen
- Operation demonstrated in devices using HLD at 120°C

Data from S. R. Hammond et al, *Proc. SPIE* 2022, doi: 10.1117/12.2622099

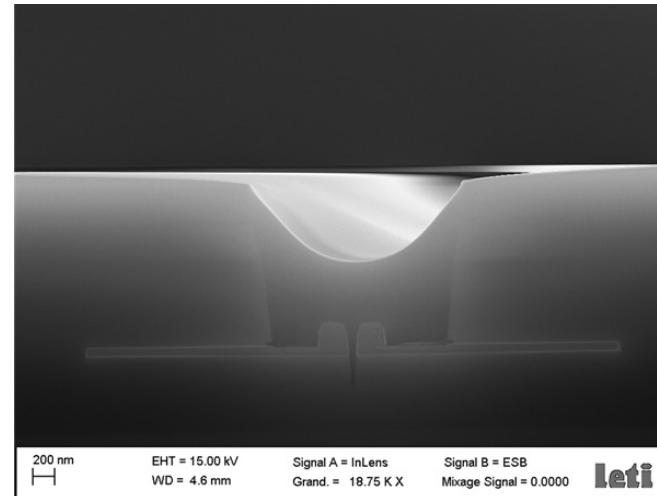
Upper right: U. Koch et al.. *Nature Electronics* 2020. doi:10.1038/s41928-020-0417-9

# Fab process development

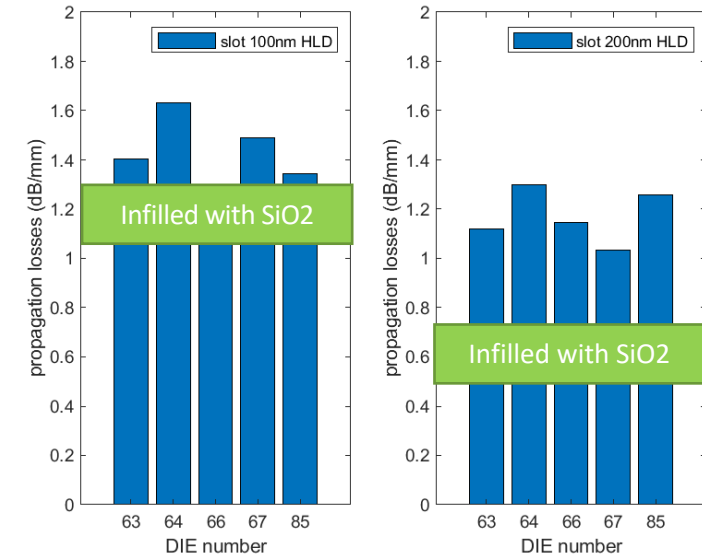
Slot waveguides and oxide open



OEO infill



Optical loss measurements



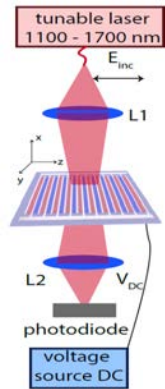
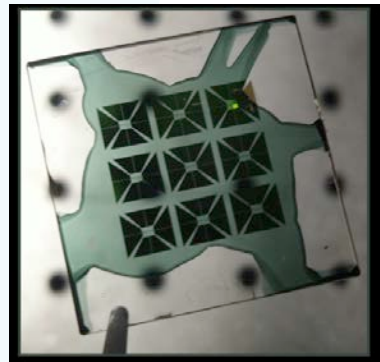
**Slot width = 100nm Slot width = 200nm**  
***HLD infilling***

Data from Dr. Yohan Désières (CEA-LETI) and team  
100 and 200 nm slot waveguides fabricated on 300nm line  
Initial demo on passive devices

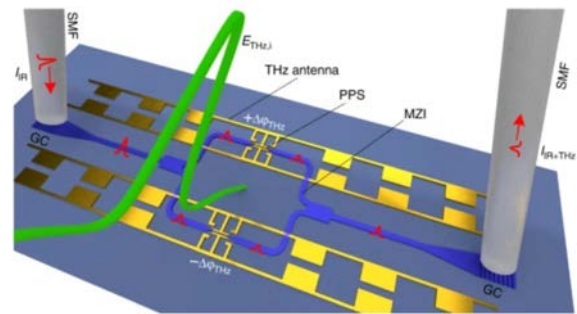


# What's next?

New device architectures and additional platforms (SiN, III-V semiconductors)



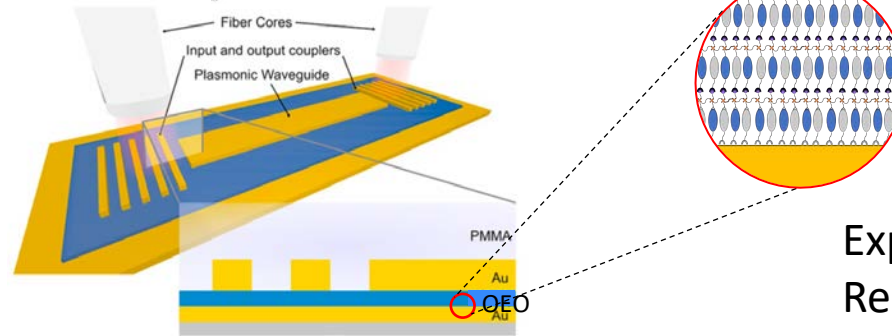
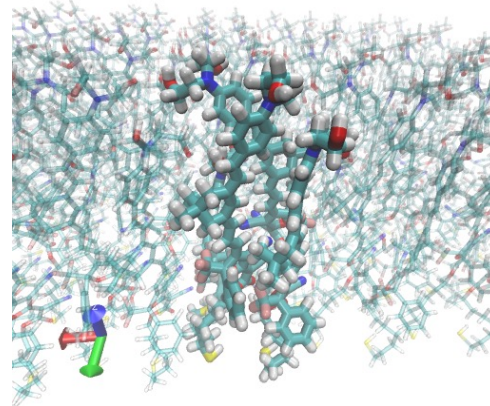
SLM



THz

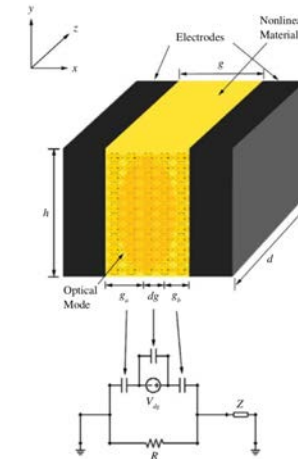
I.-C. Benea-Chelmus et al, Nat. Commun. **2021**, doi: 10.1038/s41467-021-26035-y  
 Y. Salamin, et. al. Nat. Commun. 2019, doi: 10.1038/s41467-019-13490-x

Wafer-scale deterministic assembly (sequential synthesis)



L. R. Dalton, et. al. APL Materials 2023, doi: 10.1063/5.0145212

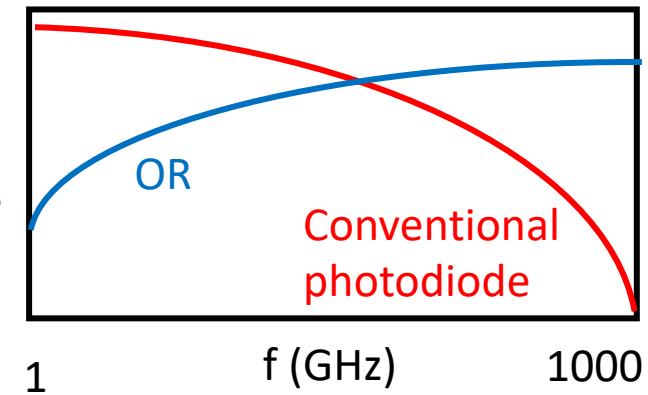
Optical rectification-based detection



T. Baehr-Jones, J. Witzens, M. Hochberg, *IEEE Journal of Quantum Electronics* **2010**  
 doi:10.1109/jqe.2010.2055838

C. Cox, E. Wooten, *J. Lightwave Technology* **2021**, doi: 10.1109/jlt.2021.3118968

Expected Response





# Acknowledgements

## NLM Photonics

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**Dr. Scott Hammond**

Dir. Process Development



**Dr. Stephanie Benight**

VP Strategic Partnerships



**Dr. Kevin O'Malley**

Senior Research Scientist



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- Dr. Huajun Xu
- Dr. Andreas Tillack



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- Dr. Wolfgang Heni
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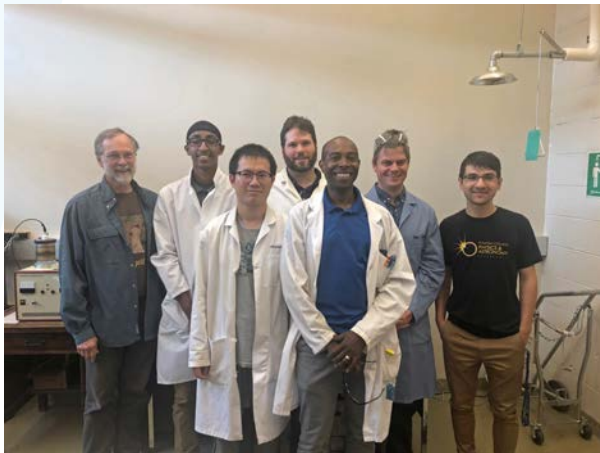
Prof. Ileana-Cristina Benea-Chelmus (EPFL)



# Thanks!



**NLM Photonics Team**



**Robinson/Dalton group in 2019**



**University of Washington**